

## IRREDUCIBLE CONGRUENCES OVER $GF(2)$ <sup>(1)</sup>

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**ABSTRACT.** In characterizing and determining the number of conjugate sets of irreducible congruences of degree  $m$  belonging to  $GF(p)$  relative to the group  $G(p)$  of linear fractional transformations with coefficients belonging to the same field, the case  $p = 2$  has been consistently excluded from considerations. In this paper we consider the special case  $p = 2$  and determine the number of conjugate sets of  $m$ -ic congruences belonging to  $GF(2)$  relative to  $G(2)$ .

**1. Introduction.** The conjugate sets of irreducible  $m$ -ic congruences

$$(1.1) \quad c_m(x) = x^m + a_1x^{m-1} + \cdots + a_{m-1}x + a_m \equiv 0 \pmod{p}$$

belonging to the modular field defined by the prime  $p$  under the group of linear fractional transformations

$$(1.2) \quad T: x = (ax' + b)/(cx' + d), \quad a, b, c, d \in GF(p),$$

have been classified in terms of the irreducible factors of an absolute invariant  $\pi_m(J, K)$  [2]. In this classification and in the various studies of conjugate sets that have followed, the most recent being that for which the degree  $m$  is a power of an odd prime [3], the case  $p = 2$  has been excluded as a possible characteristic for the base field  $GF(p)$  because of the special considerations and treatments that would have been required. In this paper we consider this special case and therefore determine the number of conjugate sets of  $m$ -ic congruences over  $GF(2)$  relative to  $G(2) = G$ .

For convenience we shall henceforth use  $p$  rather than 2 for the characteristic 2 of our fields and we shall use the standard notation  $IQ[m, p^k]$  for an irreducible monic congruence of degree  $m$  over  $GF(p^k)$ .  $GF'(p^k)$  will denote the subset of marks of  $GF(p^k)$  which do not belong to any proper subfield and an  $m$ -ic over  $GF'(p^k)$  will be regarded as a monic congruence of degree  $m$  over  $GF(p^k)$  with at

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least one coefficient belonging to  $GF(p^k)$ . We shall use  $\{IQ[m, p^k]\}^{p^j}$  or  $\{f(x)\}^{p^j}$  to denote the congruence of degree  $m$  whose coefficients are respectively the  $p^j$ th powers of those of  $IQ[m, p^k] = f(x)$ .  $\{IQ[m, p^k]\}^{p^j + p^w}$  will mean the product of  $\{f(x)\}^{p^j}$  and  $\{f(x)\}^{p^w}$ .

For  $p = 2$  the group  $G = G(2)$  is of order  $p(p^2 - 1) = 6$  and may be easily recognized as the substitution group on three letters. If  $T \in G$  is given by (1.2) then we shall say that  $T$  is identified by the matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  and that this matrix defines  $T$ . We will find it convenient to identify the transformations of  $G$  by the six nonsingular  $2 \times 2$  matrices over  $GF(2)$  given as follows:

$$(1.3) \quad G: \quad \begin{aligned} I &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, & L &= \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \\ K &= \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}, & \bar{L} &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \\ K^2 &= \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}, & \bar{\bar{L}} &= \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} = LK^2. \end{aligned}$$

Clearly the order of  $K$ ,  $o(K) = 3$ ;  $o(L) = o(\bar{L}) = o(\bar{\bar{L}}) = 2$  and the generators  $K$  and  $L$  of  $G$  satisfy the condition  $KLK = L$ .

Finally, if  $f(x)$  is an  $IQ[m, p^k]$  and  $T \in G$  then  $f(x)T = f'(x)$  will be called the transform of  $f(x)$  by  $T$  and is the monic polynomial congruence in  $x$  obtained from  $f((ax + b)/(cx + d))$ . The set  $\{f(x)T : T \in G\}$  is called a conjugate set relative to  $G$  and the members of the set are said to be conjugate. If  $f(x)T = f(x)$  then  $f(x)$  is said to be self-conjugate under  $T$ . If  $\eta$  is a root of a congruence  $f(x)$  over  $GF(p^k)$  and if  $T = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  then  $\eta T = (a\eta + b)/(c\eta + d)$  is called the transform of  $\eta$  by  $T$ . Clearly  $\eta^{p^i}T = (\eta T)^{p^i}$  for all  $i \geq 0$ .

Since  $o(G) = 6$  then the orders of conjugate sets of  $m$ -ics are of the form  $6/d$  where  $d|m$ . Thus, conjugate sets may be of order 6, 3, 2 or 1. To determine the number of conjugate sets of each possible order we consider separately the number of order 1, 2 and 3. In §2 we show that there can be no set of order 1 if  $m > 2$  and the numbers  $C_2$  and  $C_3$  of sets of order 2 and 3 are considered in §3 and §4, respectively. The number  $C_6$  of order 6 is easily determined from the total number of irreducible  $m$ -ics over  $GF(p)$ .

2. Conjugate sets of order 1. If  $m = 2$  then  $x^2 + x + 1 = IQ[2, p]$  is the only irreducible congruence and necessarily belongs to a set of order 1. It is, therefore, invariant under  $G$ .

If  $m > 2$  and if  $f(x) = IQ[m, p]$  belongs to a conjugate set of order 1, then  $f(x)T = f(x)$  for every  $T \in G$  and it follows that  $m = 6k$  for some  $k \geq 1$ . Thus,  $f(x)$  is the product of  $k$  distinct  $IQ[6, p^k]$ , each of which is self-conjugate under every transformation  $T \in G$  [1, p. 33]. If  $s(x)$  denotes one of these factors, then

$$f(x) = \prod_{i=0}^5 \{s(x)\}^{p^i};$$

and, if  $\mu$  is a root of  $s(x)$ , then its roots are

$$\mu, \mu^{p^k}, \mu^{p^{2k}}, \mu^{p^{3k}}, \mu^{p^{4k}}, \mu^{p^{5k}}.$$

Since  $s(x)$  is irreducible over  $GF(p^k)$ , these roots are all distinct and belong to  $GF'(p^{6k})$ .

Now this irreducible sextic  $s(x)$  over  $GF(p^k)$  is the product of two cubics  $c_1(x)$  and  $c_2(x) = \{c_1(x)\}^{p^3}$ , each irreducible over  $GF(p^{2k})$  and self-conjugate under  $K$ , the transformation of  $G$  of order 3 given by (1.3). The roots of these two cubics are

$$\mu, \mu^{p^{2k}}, \mu^{p^{4k}} \text{ and } \mu^{p^k}, \mu^{p^{3k}}, \mu^{p^{5k}},$$

respectively. Now, since  $s(x)$  is self-conjugate under  $G$ ,  $s(x)L = s(x)$  implies that  $c_1(x)L = c_2(x)$  and we conclude that  $\mu L = \mu^{p^{3k}}$  since  $o(L) = 2$ . We may assume that  $\mu K = \mu^{p^{2k}}$ . Then, since  $\bar{L} = LT$ , we have

$$\mu \bar{L} = \mu(LT) = (\mu T)L = \mu^{p^{2k}}L = \mu^{p^{5k}}$$

and, since  $o(\bar{L}) = 2$ , it follows that

$$\mu = \mu \bar{L}^2 = (\mu \bar{L})\bar{L} = (\mu^{p^{5k}})\bar{L} = \mu^{p^{10k}} = \mu^{p^{4k}}$$

since  $\mu^{p^{6k}} = \mu$ . Thus  $\mu = \mu^{p^{2k}}$ , which implies that  $\mu \in GF(p^{2k})$  and hence that  $s(x)$  is reducible. Since  $s(x)$  is irreducible we conclude that there exist no irreducible sextic over  $GF(p^k)$  that is self-conjugate under  $G$  and hence no conjugate set of order 1. We state these results in

**Theorem 2.1.** *For  $p = 2$  there exist no conjugate sets of irreducible  $m$ -ic congruences over  $GF(p)$  of order 1 relative to  $G$  if  $m > 2$  and only one set of order 1 if  $m = 2$ .*

**3. Conjugate sets of order 2.** Since there exist no  $IQ[m, p]$  invariant under  $G$  then any  $IQ[m, p] = f(x)$  that is self-conjugate under  $K$  must necessarily belong to a set of order 2 and  $f(x)L = f'(x)$  will be the other  $m$ -ic belonging to the set. That  $f'(x)$  is also self-conjugate under  $K$  is given by

**Theorem 3.1.** *Any  $IQ[m, p] = f(x)$  that is self-conjugate under  $K$  belongs to a set of order 2 and  $f(x)L$  is likewise self-conjugate under  $K$ .*

**Proof.** Suppose  $IQ[m, p] = f(x)$  is self-conjugate under  $K$  and let  $f'(x) = f(x)L$ . Then  $f(x) \neq f'(x)$ , for otherwise  $f(x)$  would belong to a set of order 1. Now  $f(x)K = f(x)$  implies that

$$f(x)KL = (f(x)K)L = f(x)L = f'(x)$$

and, since  $KLK = L$ ,

$$f'(x)K = (f(x)KL)K = f(x)(KLK) = f(x)L = f'(x).$$

Thus  $f'(x)$  is self-conjugate under  $K$ . Since

$$f(x)\bar{L} = f(x)(LK) = (f(x)L)K = f'(x)K = f'(x)$$

and

$$f(x)\bar{\bar{L}} = f(x)(LK^2) = f'(x)K^2 = (f'(x)K)K = f'(x)K = f'(x),$$

we conclude that  $f(x)$  belongs to a set of order 2.

Now suppose that  $f(x) = IQ[m, p]$  is self-conjugate under  $K$ . Then, since  $3|m$ , we have  $m = 3^t k$ ,  $(3, k) = 1$ ,  $t \geq 1$  and  $f(x)$  is the product of  $s = 3^{t-1}k$  distinct irreducible cubics over  $GF(p^s)$  [1, p. 33]

$$IQ[m, p] = f(x) = \prod_{i=0}^{s-1} \{c(x)\}^{p^i},$$

each cubic  $\{c(x)\}^{p^i}$  of which is self-conjugate under  $K$ . It follows therefore that there exist an  $IQ[m, p]$  that is self-conjugate under  $K$  and hence a conjugate set of order 2, provided there exist an irreducible cubic  $c(x)$  over  $GF(p^s)$  that is self-conjugate under  $K$ . The number of such cubics may then be used to determine the number of  $IQ[m, p]$ 's that are self-conjugate under  $K$  and, hence, the number of conjugate sets of order 2.

Suppose therefore that  $c(x)$  is any cubic over  $GF(p^s)$  (reducible or irreducible) that is self-conjugate under  $K$ . Then if  $\mu$  is a root of  $c(x)$  its roots are  $\mu$ ,  $\mu K$ ,  $\mu K^2$ ; and if we set  $\alpha = \mu + \mu K + \mu K^2$  then

$$(3.1) \quad \alpha = \mu + \frac{\mu + 1}{\mu} + \frac{1}{\mu + 1} = \frac{\mu^3 + \mu + 1}{\mu^2 + \mu}.$$

Hence  $\mu^3 + \alpha\mu^2 + (\alpha + 1)\mu + 1 = 0$ , and we conclude that  $\mu$ ,  $\mu K$ , and  $\mu K^2$  are roots of

$$(3.2) \quad c(x) = x^3 + \alpha x^2 + (\alpha + 1)x + 1.$$

Thus we have the following

**Theorem 3.1.** *Any cubic  $c(x)$  over  $GF(p^s)$  that is self-conjugate under  $K = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$  is of the form (3.2) where  $\alpha \in GF(p^s)$ . Conversely, any cubic over  $GF(p^s)$  of the form (3.2) is self-conjugate under  $K$ .*

If  $\alpha \in GF'(p^s)$  then the roots  $\mu$ ,  $\mu K = (\mu + 1)/\mu$ , and  $\mu K^2 = 1/(\mu + 1)$  of  $c(x)$  necessarily belong to  $GF'(p^s)$  or  $GF'(p^{3s})$ , according as  $c(x)$  is reducible or

irreducible. If these roots are not distinct then  $\mu = \mu K$ ,  $\mu = \mu K^2$ , or  $\mu K = \mu K^2$  each implies that  $\mu^2 + \mu + 1 = 0$ , from which we conclude that  $\mu \in GF'(p^2)$  since  $x^2 + x + 1$  is the only irreducible quadratic over  $GF(p)$ . Thus,  $s = 2 = 3^{t-1}k$  and hence  $m = 3s = 6$ . This gives

**Theorem 3.2.** *The roots  $\mu$ ,  $\mu K$  and  $\mu K^2$  of the cubic  $c(x)$  over  $GF'(p^s)$  are all distinct if and only if  $s \neq 2$ .*

All  $m$ -ics whose degree  $m = 3s$  is a multiple of 3 may now be considered by taking separately the three cases for  $s$ , namely,  $s = 1$ ,  $s = 2$ , or  $s > 2$ .

*Case  $s = 3^{t-1}k = 1$ .* In this case we have  $m = 3s = 3$ , and the roots of the cubic  $c(x) = x^3 + \alpha x^2 + (\alpha + 1)x + 1$  over  $GF(p)$  are distinct. Since  $o(GF(p)) = 2$  it follows that  $c(x)$  is irreducible. Moreover, since there are exactly  $(p^3 - p)/3 = (8 - 2)/3 = 2$  irreducible cubics over  $GF(p)$  in all, they are identified by the choices  $\alpha = 0$  and  $\alpha = 1$  of  $GF(p)$ . Therefore we have

**Theorem 3.3.** *For  $p = 2$  there exists one conjugate set of irreducible cubics over  $GF(p)$  of order 2, and this set represents the only conjugate set of cubics over  $GF(p)$ .*

*Case  $s = 3^{t-1}k = 2$ .* In this case  $m = 3s = 6$  and we have  $\mu = \mu K = \mu K^2 \in GF'(p^2)$ . This implies that  $\alpha = \mu + \mu + \mu = \mu$  and identifies the reducible cubic  $c(x) = x^3 + \mu x^2 + (\mu + 1)x + 1$  over  $GF'(p^2)$ . Moreover,  $\mu^p = \mu + 1$ , the only other mark of  $GF'(p^2)$ , likewise determines a reducible cubic, namely,

$$c'(x) = \{c(x)\}^p = x^3 + \mu^p x^2 + (\mu^p + 1)x + 1$$

which is also self-conjugate under  $K$ . Since  $o(GF'(p^2)) = 2$ , there are no irreducible cubics over  $GF'(p^2)$  that are self-conjugate under  $K$  and hence no irreducible sextics over  $GF(p)$  that are self-conjugate under  $K$ . Thus,

**Theorem 3.4.** *For  $p = 2$  there exist no conjugate sets of irreducible sextics over  $GF(p)$  of order 2 relative to  $G = G(p)$ .*

*Case  $s = 3^{t-1}k > 2$ .* For any choice of  $\alpha \in GF'(p^s)$  the cubic  $c(x)$  given by (3.2) may or may not be irreducible. If  $S = o(GF'(p^s))$  then there are  $S$  distinct choices for  $\alpha$  and hence this many cubics  $c(x)$  over  $GF'(p^s)$  that are self-conjugate under  $K$ . The number of irreducible ones may be obtained by deciding the number of choices for  $\alpha \in GF'(p^s)$  that determine reducible ones.

Since  $\alpha \in GF'(p^s)$ , the roots  $\mu$ ,  $\mu K$ ,  $\mu K^2$  each belong to  $GF'(p^s)$  or  $GF'(p^{3s})$ . Suppose  $\mu \in GF'(p^s)$ . Then  $\mu$ ,  $\mu K$ ,  $\mu K^2$  are distinct and, since each belongs to  $GF'(p^s)$ , they are roots of irreducible  $s$ -ics over  $GF(p)$ . Now their sum  $\alpha = \mu + \mu K + \mu K^2$  is clearly a mark of  $GF(p^s)$  and may or may not belong to  $GF'(p^s)$ . If  $\alpha \notin GF'(p^s)$  then  $\alpha \in GF'(p^r)$  where  $GF(p^r)$  is a proper subfield of  $GF(p^s)$ . Then

the cubic  $c(x)$  defined by  $\alpha$  is a cubic over  $GF'(p^r)$  whose roots  $\mu, \mu K, \mu K^2$  belong to  $GF'(p^s)$ . Therefore,  $c(x)$  is an irreducible cubic over  $GF'(p^r)$  and, since  $\mu \in GF'(p^s)$ , we have  $r = s/3$ . Thus, the marks of  $GF'(p^s)$  that are roots of irreducible cubics over  $GF'(p^{s/3})$  that are self-conjugate under  $K$  determine a mark  $\alpha$  of  $GF'(p^r)$  where  $r = s/3$ . Now if  $L_r$  is the number of irreducible cubics over  $GF'(p^r)$  that are self-conjugate under  $K$  then the roots  $\mu, \mu K, \mu K^2$  of any such cubic each belong to  $GF'(p^s)$  and their sum  $\alpha \in GF'(p^r)$ . It follows that there are  $3L_r$  distinct marks  $\mu \in GF'(p^s)$  that identify an  $\alpha$  in  $GF'(p^r)$ . Then the other marks  $\mu$  of  $GF'(p^s)$  determine an  $\alpha$  belonging to  $GF'(p^s)$ . Since  $S = o(GF'(p^s))$  there are  $(S - 3L_r)/3$  distinct choices for  $\alpha$  in  $GF'(p^s)$  each determined by the set  $\{\mu, \mu K, \mu K^2\}$ . These  $\alpha$ 's determine cubics  $c(x)$  over  $GF'(p^s)$  whose roots belong to  $GF'(p^s)$  and therefore are reducible cubics. Now any mark  $\alpha$  of  $GF'(p^s)$  not among this collection of  $(S - 3L_r)/3$  distinct marks will determine a cubic  $c(x)$  over  $GF'(p^s)$  of the form (3.2) that is irreducible. There are therefore

$$L_{3r} = L_s = S - [(S - 3L_r)/3] = L_r + 2S/3$$

distinct irreducible cubics over  $GF'(p^s)$  that are self-conjugate under  $K$  for each  $r \geq 1$ . Thus we have

**Theorem 3.5.** *For  $p = 2$ , if  $L_r$  is the number of irreducible cubics over  $GF'(p^r)$  of the form (3.2),  $r = 1, 2, 3, \dots$ , then there are*

$$(3.3) \quad L_{3r} = L_r + 2 \cdot o(GF'(p^{3r}))/3$$

*distinct irreducible cubics over  $GF'(p^{3r})$  of the form (3.2).*

The numbers  $L_r$  and hence  $L_s$ ,  $s = 3r = 3^{t-1}k > 2$ ,  $(3, k) = 1$ , may now be determined by using the recursion formula (3.3) of the above theorem. First, we consider  $L_k$  where  $k = 1$  and  $k = 2$ . Clearly,  $L_1 = 2 = p$  since each irreducible cubic over  $GF(p)$  is of the form (3.2). Then by (3.3) we have  $L_{3 \cdot 1} = L_1 + 2(p^3 - p)/3 = 2(p^3 + 1)/3$ . Hence

$$L_{3^2 \cdot 1} = L_{3 \cdot 1} + 2 \cdot o(GF'(p^{3^2}))/3 = 2(p^{3^2} + 1)/3.$$

In general, we have

**Lemma 3.1.** *For  $p = 2$  and  $s = 3^{t-1}$ ,  $t \geq 1$ , the number  $L_s$  of irreducible cubics over  $GF'(p^s)$  of the form (3.2) (i.e. self-conjugate under  $K$ ) is given by*

$$(3.4) \quad L_s = 2(p^s + 1)/3.$$

Now for  $k = 2$  we have  $L_k = L_2 = 0$  since there exist no irreducible cubics over  $GF'(p^2)$  of the form (3.2). Thus

$$L_{3 \cdot 2} = L_2 + 2 \cdot o(GF'(p^{3 \cdot 2}))/3 = 2(p^{3 \cdot 2} - p^3 - p^2 + 1)/3$$

from which it follows that

$$\begin{aligned}
 L_{3^2 \cdot 2} &= L_{3 \cdot 2} + 2 \cdot o(GF'(p^{3^2 \cdot 2}))/3 \\
 &= L_{3 \cdot 2} + 2(p^{3^2 \cdot 2} - p^{3^2} - p^{3 \cdot 2} + p^3)/3 \\
 &= 2[p^{3^2 \cdot 2} - p^{3^2} - p^2 + 1]/3.
 \end{aligned}$$

In general, we have

**Lemma 3.2.** For  $p = 2$  and  $s = 3^{t-1} \cdot 2$ ,  $t > 1$ , the number  $L_s$  of irreducible cubics over  $GF'(p^s)$  of the form (3.2) is given by

$$(3.5) \quad L_s = 2[p^s - p^{s/2} - p^2 + 1]/3.$$

Finally, we determine the number  $L_k$  of irreducible cubics over  $GF'(p^k)$  that are self-conjugate under  $K$  where  $k > 2$  and  $(3, k) = 1$ . Any such cubic is of the form (3.2) where  $\alpha \in GF'(p^k)$ , and its roots  $\mu, \mu K, \mu K^2$  are distinct and belong to  $GF'(p^{3k})$ . If we choose  $\mu \in GF'(p^k)$  and set  $\alpha = \mu + \mu K + \mu K^2$  then  $\alpha \in GF'(p^k)$  or  $\alpha$  belongs to a proper subfield of  $GF(p^k)$ , say  $GF(p^{k'})$ . If  $\alpha \in GF'(p^{k'})$  then  $k' | k$ , and the cubic defined by  $\alpha$  would be an irreducible cubic over  $GF'(p^{k'})$ , from which it follows that  $3k' = k$  and hence that  $3 | k$ . Since  $(3, k) = 1$  we conclude that  $\alpha$  cannot belong to a proper subfield of  $GF(p^k)$ , and hence that  $\alpha \in GF'(p^k)$ . Now, if we set  $K_0 = o(GF'(p^k))$  then there are  $K_0/3$  distinct values for  $\alpha \in GF'(p^k)$  corresponding to the  $K_0/3$  distinct subsets  $\{\mu, \mu K, \mu K^2\}$  of  $GF'(p^k)$  each of which identifies a reducible cubic over  $GF'(p^k)$  of the form (3.2). Therefore, there are  $K_0 - K_0/3 = 2K_0/3$  choices for  $\alpha$  that determine irreducible ones. Thus we have

**Lemma 3.3.** If  $k > 2$ ,  $(3, k) = 1$  and  $K_0 = o(GF'(p^k))$  then  $L_k = 2K_0/3$ .

This lemma along with the recursion formula (3.3) gives

**Lemma 3.4.** If  $k > 2$ ,  $(3, k) = 1$  and  $K_1 = o(GF'(p^{3k}))$  then  $L_{3k} = 2(K_0 + K_1)/3$ .

In general, we have

**Lemma 3.5.** For  $p = 2$ , if  $s = 3^{t-1}k$  where  $k > 2$ ,  $t \geq 1$ ,  $(3, k) = 1$ , and if  $K_i = o(GF'(p^{3^i k}))$  then

$$(3.6) \quad L_s = 2 \left[ \sum_{i=0}^{t-1} K_i \right] / 3.$$

Lemmas 3.1, 3.2 and 3.5 now give us the following important

**Theorem 3.6.** *If  $p = 2$  and  $s = 3^{t-1}k > 2$  where  $t \geq 1$  and  $(3, k) = 1$ , then the number  $L_s$  of distinct irreducible cubics over  $GF'(p^s)$  that are self-conjugate under  $K$  is given by:*

$$(a) L_s = 2(p^s + 1)/3,$$

$$(b) L_s = 2(p^s - p^{s/2} - p^2 + 1)/3, \text{ or}$$

$$(c) L_s = 2(\sum_{i=0}^{t-1} K_i)/3, \text{ where } K_i = o(GF'(p^{3^i k})),$$

according as (a)  $k = 1$ , (b)  $k = 2$ , or (c)  $k > 2$ .

We may now determine the number of conjugate sets of irreducible  $m$ -ics of order 2 for  $m = 3^t k$ , where  $t \geq 1$ ,  $(3, k) = 1$  and  $s = 3^{t-1}k$ . First let us note that if  $\alpha \in GF'(p^s)$  and determines the irreducible cubic  $c(x) = x^3 + \alpha x^2 + (\alpha + 1)x + 1$ , then  $\alpha + 1 \in GF'(p^s)$  and determines the cubic  $c'(x) = x^3 + (\alpha + 1)x^2 + \alpha x + 1$  which is likewise irreducible over  $GF'(p^s)$  and self-conjugate under  $K$ . Moreover, these two cubics are conjugate since one may easily show that  $c(x)L = c'(x)$ , where  $L = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Now if  $\mu$  is a root of  $c(x)$  then its roots are  $\mu, \mu K = \mu^{p^s}, \mu K^2 = \mu^{p^{2s}}$  and  $\mu + 1, (\mu + 1)K = \mu^{p^s} + 1, (\mu + 1)K^2 = \mu^{p^{2s}} + 1$  are the roots of  $c'(x)$ . We conclude from this that no  $p^i$ th power of  $c(x)$  can give us  $c'(x)$ . Hence it follows that

$$IQ[m, p] = \prod_{i=0}^{s-1} \{c(x)\}^{p^i} \quad \text{and} \quad IQ'[m, p] = \prod_{i=0}^{s-1} \{c'(x)\}^{p^i} = IQ[m, p]L$$

are distinct and constitute the irreducible  $m$ -ics over  $GF(p)$  belonging to a set of order 2.

Since the  $s$  cubic factors of both  $IQ[m, p]$  and  $IQ'[m, p]$  are each irreducible cubics over  $GF'(p^s)$  and of the form (3.2) it follows that there exist  $L_s/2s$  distinct conjugate sets of  $m$ -ics of order 2 where  $m = 3^t k$ ,  $t > 0$ ,  $s = 3^{t-1}k$ ,  $(3, k) = 1$ . Thus, since  $m = 3s$  we have the following

**Theorem 3.7.** *If  $p = 2$  and  $m = 3^t k$ , where  $t > 0$  and  $(3, k) = 1$ , then the number  $C_2$  of conjugate sets of  $m$ -ic congruences over  $GF(p)$  of order 2 is given by:*

$$(a) C_2 = (p^{m/3} + 1)/m,$$

$$(b) C_2 = (p^{m/3} - p^{m/6} - p^2 + 1)/m, \text{ or}$$

$$(c) C_2 = (\sum_{i=0}^{t-1} K_i)/m, \quad K_i = o(GF'(p^{3^i k})),$$

according as (a)  $k = 1$ , (b)  $k = 2$  and  $m \neq 6$ , or (c)  $k > 2$ . If  $m = 6$  then  $C_2 = 0$ .

**4. Conjugate sets of order 3.** Any conjugate set of order 3 must contain an  $IQ[m, p]$  that is self-conjugate under  $L = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Moreover,  $2|m$ , and hence  $m$  must be of the form  $m = 2^t n$  where  $(2, n) = 1$  and  $t \geq 1$ . In such a case the  $IQ[m, p]$  is the product of  $2^{t-1}n$  distinct irreducible quadratics  $\{q(x)\}^{p^i}$ ,  $i = 0, 1, 2, \dots, (2^{t-1}n - 1)$ , each of which is self-conjugate under  $L$  and hence of the form

$$(4.1) \quad q(x) = x^2 + x + \alpha, \quad \alpha \in GF'(p^{2^{t-1}n}).$$



Now let  $\gamma$  be a root of an  $IQ[2, p^{2^t-1}] = Q(x)$  and without loss of generality suppose  $Q(x)$  is of the form

$$(4.2) \quad Q(x) = x^2 + x + \beta, \quad \beta \in GF'(p^{2^t-1}).$$

Then the roots of  $Q(x)$  are  $\gamma$  and  $\gamma^{p^{2^t-1}}$  and we have  $\gamma + \gamma^{p^{2^t-1}} = 1$  and  $\gamma \cdot \gamma^{p^{2^t-1}} = \beta$ . Since  $(2, n) = 1$  then any mark  $\eta$  of  $GF'(p^{2^t n})$  is uniquely expressible in the form

$$(4.3) \quad \eta = \phi_1 + \phi_2 \gamma, \quad \phi_1, \phi_2 \in GF'(p^{2^t-1 n}),$$

and if  $\eta \in GF'(p^{2^t n})$  then  $\eta$  may be regarded as a root of an irreducible quadratic over  $GF'(p^{2^t-1 n})$ .

Since  $(2, n) = 1$  implies that  $n = 2w + 1$  for some  $w$  and hence that  $2^{t-1}n = 2^t w + 2^{t-1}$  then

$$\gamma^{p^{2^{t-1}n}} = \gamma^{p^{2^t w + 2^{t-1}}} = [\gamma^{p^{2^t w}}]^{p^{2^{t-1}}} = \gamma^{p^{2^t-1}}$$

and we have

$$\eta^{p^{2^{t-1}n}} = (\phi_1 + \phi_2 \gamma)^{p^{2^{t-1}n}} = \phi_1 + \phi_2 \gamma^{p^{2^{t-1}n}} = \phi_1 + \phi_2 \gamma^{p^{2^t-1}}$$

since  $\phi_1, \phi_2 \in GF(p^{2^t-1 n})$ .

Now if, in particular,  $\eta = \phi_1 + \phi_2 \gamma$  is a root of an irreducible quadratic  $q(x)$  of the form (4.1) then

$$\eta + \eta^{p^{2^t-1 n}} = 1$$

implies that  $(\phi_1 + \phi_2 \gamma) + (\phi_1 + \phi_2 \gamma^{p^{2^t-1}}) = \phi_2(\gamma + \gamma^{p^{2^t-1}}) = \phi_2 \cdot 1 = 1$  and hence that  $\nu = \phi_1 + \gamma$ . Conversely, if  $\nu$  is any mark of  $GF'(p^{2^t n})$  of the form  $\eta = \phi + \gamma$  then  $\eta$  and hence  $\eta^{p^{2^t-1 n}}$  are roots of an irreducible quadratic  $q(x)$  over  $GF'(p^{2^t-1 n})$  and, since

$$\eta + \eta^{p^{2^t-1 n}} = (\phi + \gamma) + (\phi + \gamma^{p^{2^t-1}}) = 1,$$

then  $q(x)$  is of the form (4.1) and hence self-conjugate under  $L$ . Thus we have

**Theorem 4.1.** *If  $p = 2$  then a necessary and sufficient condition that  $\eta = \phi_1 + \phi_2 \gamma$  be a root of an irreducible quadratic  $q(x)$  over  $GF'(p^{2^t-1 n})$  that is self-conjugate under  $L$  is that  $\eta \in GF'(p^{2^t n})$  and be of the form  $\eta = \phi + \gamma$  where  $\phi \in GF(p^{2^t-1 n})$ .*

Now if  $\eta = \phi + \gamma \in GF'(p^{2^t n})$  is a root of  $q(x) = x^2 + x + \beta$  then  $q(x)L = q(x)$  implies that the roots of  $q(x)$  are

$$\eta = \phi + \gamma \quad \text{and} \quad \eta^{p^{2^{t-1}n}} = \eta L = \eta + 1 = (\phi + 1) + \gamma.$$

Thus, if  $\phi \in GF(p^{2^{t-1}n})$  defines the root  $\eta$  of the  $LQ[2, p^{2^{t-1}n}] = q(x)$  and hence  $q(x)$  itself then  $\phi + 1$  will likewise define  $q(x)$ .

The number of conjugate sets of order 3 may now be obtained by determining the number of irreducible quadratics over  $GF'(p^{2^{t-1}n})$  that are self-conjugate under  $L$ . To do this we must therefore determine the number of distinct marks  $\phi \in GF(p^{2^{t-1}n})$  such that  $\eta = \phi + \gamma$  belongs to  $GF'(p^{2^t n})$ . Since  $\gamma \in GF'(p^{2^t})$  and since  $GF(p^{2^{t-1}n}) \cap GF(p^{2^t}) = GF(p^{2^{t-1}})$  and  $(2, n) = 1$  it readily follows that  $\eta = \phi + \gamma \in GF'(p^{2^t n})$  if and only if  $\phi$  is a root of any irreducible  $n$ -ic over  $GF'(p^{2^{t-1}})$ . (2) Thus, if  $n = q_1^{r_1} \cdot q_2^{r_2} \cdots q_b^{r_b}$  is the standard form for  $n$ , then there are

$$(4.4) \quad nN_{n,p}^{2^{t-1}} = p^{2^{t-1}n} - \sum p^{2^{t-1}n/q_i} + \sum p^{2^{t-1}n/(q_i q_j)} - \dots$$

distinct choices for  $\phi$  such that  $\eta = \phi + \gamma \in GF'(p^{2^t n})$ , where the sums  $\Sigma$  are taken for all combinations of the distinct prime factors of  $n$  in the numbers indicated [1, p. 18]. [Note that if  $n = 1$  then this number given by (4.4) is  $p^{2^{t-1}}$ .]

Now, since two distinct choices of  $\phi$  identify one quadratic and since  $2^{t-1}n$  of these go together to determine one irreducible  $m$ -ic ( $m = 2^t n$ ) over  $GF(p)$  that is self-conjugate under  $L$  and hence one set of order 3 then the number of sets of order 3 is easily determined. We state this result in the following

**Theorem 4.2.** *If  $p = 2$  and  $m = 2^t n$ , where  $t \geq 1$ ,  $(2, n) = 1$  and if  $n = q_1^{r_1} \cdot q_2^{r_2} \cdots q_b^{r_b} > 2$  then there exist*

$$C_3 = \left[ p^{2^{t-1}n} - \sum p^{2^{t-1}n/q_i} + \sum p^{2^{t-1}n/(q_i q_j)} - \dots \right] / m$$

*distinct conjugate sets of irreducible  $m$ -ic congruences over  $GF(p)$  of order 3.*

*If  $n = 1$  then the number of conjugate sets of order 3 is  $C_3 = [p^{2^{t-1}}]/m = 2^{2^{t-1}-t}$ .*

The number of conjugate sets of order 6, say  $C_6$ , is now easily determined and is given by the following

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(2) In fact, if  $\phi$  is a root of an irreducible  $n$ -ic over  $GF(p)$  then since  $\gamma \in GF'(p^{2^t n})$  it is clear that  $\eta = \phi + \gamma$  belongs to  $GF'(p^{2^t n})$ .

**Theorem 4.3.** *If  $p = 2$  then the number of conjugate sets of order 6 is given by  $C_6 = [N_{m,p} - 2C_2 - 3C_3]/6$  where  $C_2$  and  $C_3$  are the numbers of sets of order 2 and 3 respectively and  $N_{m,p}$  is the total number of irreducible  $m$ -ics over  $GF(p)$  (see [1, p. 18]).*

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